

PATENT 9008-1004

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Paul ROSS et al.

Confirmation No. 8808

Serial No. 10/576,010

Group 1632

Filed August 6, 2007

Examiner

USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR §1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

November 10, 2008

Sir:

Under the provisions of 37 CFR 1.48(a), it is respectfully requested that the inventorship of the above-identified application be changed to include, not only the originally-named inventors, Paul ROSS and Stephen HALLAHAN, but also Colin HILL and William MEANEY, both of Cork, Ireland.

The undersigned attorney hereby states that the inventorship error occurred without deceptive intention on the part of the omitted inventors.

There is submitted herewith:

- a statement from Colin HILL, one of the omitted inventors, indicating that the error in inventorship occurred without deceptive intention on his part;

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- the written consent of the assignee to add Colin HILL with a copy of the assignment recorded at Reel 019655, Frame 0368;
- a Certificate Under 37 CFR 3.73(b) executed by an officer of the assignee.

There is also submitted herewith:

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- a statement from William MEANEY, the other omitted inventor, indicating that the error in inventorship occurred without deceptive intention on his part;
- the written consent of the assignee to add William MEANEY with a copy of the assignment recorded at Reel 019655, Frame 0368;
- a Certificate under 37 CFR 3.73(b) executed by an Officer of the assignee; and
- a 37 CFR 1.63 declaration duly executed by all the actual inventors, except for Steven HALLAHAN, a non-signing inventor. In this regard, a decision on petition under 37 CFR 1.47(b) was previously mailed out on May 27, 2008 by the USPTO granting applicant's Rule 47(a) petition.

Docket No. 9008-1004 Appln. No. 10/576,010

The Commissioner is hereby authorized to charge counsel's credit card the requisite 37 CFR 1.17(i) processing fee of \$130.

Respectfully submitted,

YOUNG & THOMPSON

Benoît Castel

209 Madison Street

Suite 500

Alexandria, VA 22314

Telephone (703) 521-2297

Benoît Castel

Telefax (703) 685-0573

(703) 979-4709

BC/fb

Attachments



Applicants: Paul ROSS et al.

Serial No.: 10/576,010

Filed:

April 17, 2006

For:

USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

STATEMENT IN SUPPORT OF PETITION UNDER 37 C.F.R. §1.48(A)

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Colin HILL, hereby state that I erroneously and without deceptive intent was omitted as a joint inventor of the aboveidentified application. Consequently, I should be added as an inventor of the above-identified application.

Respectfully submitted,

13 07/07 Date

Colin HILL



Applicants:

Paul ROSS et al.

Serial No.:

10/576,010

Filed:

April 17, 2006

For:

USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

CONSENT TO CORRECTION OF INVENTORSHIP

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

MICHAEL PETER KENNEDY of UNIVERSITY COLLEGE, CORK - UNIVERSITY OF IRELAND, Assignee of the above-identified patent application, hereby consents to the change of inventorship from two inventors, Paul Ross and Stephen Hallahan to four inventors Paul ROSS, Stephen HALLAHAN, Colin HILL, and William MEANEY.

Respectfully submitted,

m. Peter K

By MICHAEL PETER KENNEDY

Title VICE-PRESIDENT FOLIESEARCH

Date <u>Jun 30, 2007</u>



Applicants: Paul ROSS et al.

Serial No.: 10/576,010

Filed: April 17, 2006

For: USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

UNIVERSITY MICHAEL PETER KENNEDY of COLLEGE, CORK NATIONAL UNIVERSITY OF IRELAND, certifies that UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERISTY OF IRELAND, is one of two Assignees of the right, title, and interest in the above-identified application, the other being TEAGASC, by virtue of an Assignment from the inventors of the above-identified patent application. is a copy of the executed Assignment to UNIVERSITY COLLEGE, CORK -NATIONAL UNIVERISTY OF IRELAND.

The undersigned has reviewed all of the evidentiary documents in the above-identified patent application and, to the best of her knowledge and belief, title is in the Assignees identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERSTY OF IRELAND..

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under \$1001 of Title 18 of the United States Code and that such willful

false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

M. Pater K

By MICHAEL PETER KENNEDY

Title <u>UICE-PRESIDENT FOR RESERRCH</u>

Date <u>July 30, 2007</u>

ASSIGNMENT

THIS ASSIGNMENT effective the 17th day of October 2003 between COLIN HILL, of 4 Desepate Court, Cole Ireland (hereinafter called "the Inventor") of the first part, TEAGASC, The Agriculture and Food Development Authority, a Statutory Body Corporate under the Agriculture (Research, Training and Advice) Act, 1998, of 19 Sandymount Avenue, Dublin 4, Ireland of the second part, and UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERSITY OF IRELAND, a body corporate, incorporated by Royal Charter, of College Road, Cork, Ireland, of the third part (hereinafter called UCC) WHEREAS the Inventor claims to be the true and first inventor jointly with Paul Ross, William Meaney, Stephen Hallahan and of an invention entitled "USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION" and which invention was made by the Inventor out of and in the course of his employment with UCC AND WHEREAS the inventor holds the invention and all Patent and other rights and powers obtainable and exercisable in respect thereof in trust for UCC AND WHEREAS UCC has directed the Inventor to assign to Teagasc and UCC the invention and all rights and interests in the same and all rights and powers to make applications for patents in their own name, or in the name of their nominee, in Ireland and in any other country of the world in respect of the invention, together with all rights and benefits arising therefrom under the International Convention for the Protection of Industrial Property NOW THIS ASSIGNMENT WITNESSETH that in consideration of the sum of ϵ 10 (ten euro) paid by UCC to the Inventor (the receipt whereof is hereby acknowledged) and other good and valuable consideration

1. The Inventor hereby assigns to Teagasc and UCC absolutely the invention, any improvements therein or modifications thereof, and any know-how relating thereto together with all rights and interests in the same and all rights and powers to make application for patents in the name of Teagasc and UCC, or in the name of their nominee, in Ireland and in any

other country of the world in respect of the invention or improvements therein or modifications thereof, together with all rights and benefits arising therefrom under the International Convention for the Protection of Industrial Property.

- 2. The Inventor hereby covenants with Teagasc and UCC as follows:
- (i) The he will (so far as necessary) permit and enable Teagasc and UCC to apply for and will take all reasonable steps to assist Teagasc and UCC or their nominee in obtaining the grant of Patent or like protection in respect of the invention hereinbefore assigned in any territory as may be required by Teagasc and UCC.
- (ii) That he will at the request and cost of Teagasc and UCC execute and do all such documents acts and things as may be necessary for the purpose of sub-paragraph (i) hereof and for vesting any relevant inventions, applications for Patent, know-how, copyright or design in Teagasc and UCC or its nominee absolutely.
- 3. The Inventor hereby irrevocable appoints Teagasc and UCC as his attorney in his name to execute and do any document act or thing which may be necessary to comply with the provisions of Clause 2 hereof.

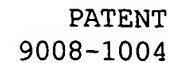
AND IT IS HEREBY CERTIFIED that this instrument is a conveyance or transfer on any occasion, not being a sale or mortgage.

<u>IN WITNESS WHEREOF</u> this Assignment has been executed the day and year first herein written.

SIGNED, SEALED AND DELIVERED by COLIN HILL.

by COLIN HILL, in the presence of Witness Executed on behalf of TEAGASC Capacity Witness Executed on behalf of UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERSITY OF IRELAND Capacity Witness

<u>assn0906</u>





Applicants:

Paul ROSS et al.

Serial No.: 10/576,010

Filed:

April 17, 2006

For:

USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

STATEMENT IN SUPPORT OF PETITION UNDER 37 C.F.R. §1.48(A)

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

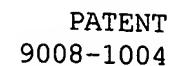
Sir:

I, William MEANEY, hereby state that I erroneously and without deceptive intent was omitted as a joint inventor of the aboveidentified application. Consequently, I should be added as an inventor of the above-identified application.

Respectfully submitted,

Villiam MEANEY

11/Mene





Applicants:

Paul ROSS et al.

Serial No.:

10/576,010

Filed:

April 17, 2006

For:

USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

CONSENT TO CORRECTION OF INVENTORSHIP

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

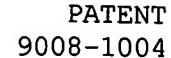
William Douvelly of TEAGASC, Assignee of the above-identified patent application, hereby consents to the change of inventorship from two inventors, Paul Ross and Stephen Hallahan to four inventors Paul ROSS, Stephen HALLAHAN, Colin HILL, and William MEANEY.

Respectfully submitted,

BY WILLIAM JOHN DONNELLY

Title Director 2, food Reservel

Date 28 7 07





Applicants: Paul ROSS et al.

Serial No.: 10/576,010

Filed: April 17, 2006

For: USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

William Dynelly of TEAGASC, certifies that TEAGASC, is one of two Assignees of the right, title, and interest in the above-identified patent application, the other being UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERISTY OF IRELAND, by virtue of an Assignment from the inventors of the above-identified patent application. Attached is a copy of the executed Assignment to TEAGASC.

The undersigned has reviewed all of the evidentiary documents in the above-identified patent application and, to the best of her knowledge and belief, title is in the Assignees identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee TEAGASC.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under \$1001 of Title 18 of the United States Code and that such willful

Serial No. 10/576,010 Docket No. 9008-1004 Page 2

false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By WILLIAM JOHN DONNIELLY
Title DIRECTOR OF FOOD RESEARCH

Date 28 7 07

ASSIGNMENT

THIS ASSIGNMENT effective the 17th day of October 2003 between WILLIAM MEANEY, of GLENAGO, DUNTAMEEN COAD, FENNEY, Correction of the Inventor") of the first part,

TEAGASC, The Agriculture and Food Development Authority, a Statutory Body Corporate under the Agriculture (Research, Training and Advice) Act, 1998, of 19 Sandymount Avenue, Dublin 4, Ireland of the second part and UNIVERSITY COLLEGE, CORK – NATIONAL UNIVERSITY OF IRELAND, a body corporate, incorporated by Royal Charter, of College Road, Cork, Ireland, of the fourth part

WHEREAS the Inventor claims to be the true and first inventor jointly with Paul Ross, Stephen Hallahan and Colin Hill of an invention entitled "USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION" and which invention was made by the Inventor out of and in the course of his employment with Teagasc AND WHEREAS the inventor holds the invention and all Patent and other rights and powers obtainable and exercisable in respect thereof in trust for Teagasc AND WHEREAS Teagasc has directed the Inventor to assign to Teagasc and UCC the invention and all rights and interests in the same and all rights and powers to make applications for patents in their own name, or in the name of their nominee, in Ireland and in any other country of the world in respect of the invention, together with all rights and benefits arising therefrom under the International Convention for the Protection of Industrial Property NOW THIS ASSIGNMENT WITNESSETH that in consideration of the sum of € 10 (ten euro) paid by Teagase to the Inventor (the receipt whereof is hereby acknowledged) and other good and valuable consideration

1. The Inventor hereby assigns to Teagasc and UCC absolutely the invention, any improvements therein or modifications thereof, and any know-how relating thereto together with all rights and interests in the same and all rights and powers to make application for patents in the name of Teagasc and UCC, or in the name of its nominee, in Ireland and in any other

country of the world in respect of the invention or improvements therein or modifications thereof, together with all rights and benefits arising therefrom under the International Convention for the Protection of Industrial Property.

- 2. The Inventor hereby covenants with Teagasc and UCC as follows:
- (i) The he will (so far as necessary) permit and enable Teagasc and UCC to apply for and will take all reasonable steps to assist Teagasc and UCC or their nominee in obtaining the grant of Patent or like protection in respect of the invention hereinbefore assigned in any territory as may be required by Teagasc and UCC.
- (ii) That he will at the request and cost of Teagasc and UCC execute and do all such documents acts and things as may be necessary for the purpose of sub-paragraph (i) hereof and for vesting any relevant inventions, applications for Patent, know-how, copyright or design in Teagasc and UCC or its nominee absolutely.
- 3. The Inventor hereby irrevocable appoints Teagasc and UCC as his attorney in his name to execute and do any document act or thing which may be necessary to comply with the provisions of Clause 2 hereof.

AND IT IS HEREBY CERTIFIED that this instrument is a conveyance or transfer on any occasion, not being a sale or mortgage.

IN WITNESS WHEREOF this Assignment has been executed the day and year first herein written.

SIGNED, SEALED AND DELIVERED by WILLIAM MEANEY,

in the presence of

Witness

Executed on behalf of TEAGASC

Capacity Witness

Executed on behalf of UNIVERSITY COLLEGE, CORK - NATIONAL UNIVERSITY OF IRELAND

Dienou Kenne

witne

assn0905



Attorney Docket No. 9008-1004

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: USE OF PROBIOTIC BACTERIA IN THE TREATMENT OF INFECTION

the spe	cification of which: (chec	k one)					
		REGULAR OR DESIGN AP	PLICATION				
	is attached hereto.						
	was filed on as application Serial No						
	and was amended on (if applicable).						
	PCT FIL	ED APPLICATION ENTERING	G NATIONAL STAGE				
\boxtimes	was described and claimed in International application No. PCT/IE2004/000143 filed on October 15, 2004 and as amended on(if any).						
		ed and understand the contents mendment referred to above.	s of the above-identified specifi	cation, including			
	wledge the duty to disclos	se information which is materia	I to patentability as defined in	Title 37, Code of			
reucia	regulations, 31.00.	PRIORITY CLAIN	Л				
certifica	ite listed below and have		ny foreign application(s) for pal gn application for patent or inve ty is claimed.				
		PRIOR FOREIGN APPLIC	ATION(S)				
	Country	Application	Date of Filing	Priority			
		Number	(day, month, year)	Claimed			
	IRELAND	2003/0773	17 October 2003	Yes			
	y claim the benefit under ' tion(s) listed below:	Title 35, United States Code §1	19(e) of any United States pro	visional patent			
Application No.		Filing Date	Status (patented, pending abandoned)				
(Compl	lete this part only if this is	a continuing application.)					
the sub application of the sub- information of the sub- became	oject matter of each of tion in the manner providution which is material to	the claims of this application ed by the first paragraph of 35 patentability as defined in Title	ates application(s) listed below is not disclosed in the prio USC 112, I acknowledge the 37 Code of Federal Regulation on and the national or PCT in	or United States duty to disclose ons §1.56 which			
Applica	tion No.	Filing Date Status (patented, pending abandoned)		ng abandoned)			

Docket No. 9008-1004

POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from TOMKINS & CO. as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the registered patent attorneys represented by Customer No. 000466 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, including: Robert J. PATCH, Reg. No. 17,355; Andrew J. PATCH, Reg. No. 32,925; Benoît CASTEL, Reg. No. 35,041; Thomas W. PERKINS, Reg. No. 33,027; Roland E. LONG, Jr., Reg. No. 41,949; Eric JENSEN, Reg. No. 37,855; Liam MCDOWELL, Reg. No. 44,231; Philip A. DUBOIS, Reg. No. 50,696; Robert A. Madsen, Reg. No. 58,543; Jeffrey M. Goehring, Reg. No. 52,948; and Jeffrey R. Snay, Reg. No. 58,913.

YOUNG & THOMPSON Second Floor 745 South 23rd Street Arlington, VA 22202

Customer No. 00466

Address all telephone calls to Young & Thompson at 703/521-2297. Telefax: 703/685-0573.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of se	ole or firs	t inventor: P	aul ROSS		
Inventor's sign	nature:	Haul	Ross	Date:	02/08/07
Residence: _	Cork, Ire	land		Citizenship:	Ireland
Post Office Ad	idress:	3 Capwell Roa	d, Cork, Ireland		
Full name of so	econd joir	nt inventor, if ar	ny: Stephen HAL	LAHAN	
Inventor's sign	nature:			Date:	
Residence:	Dublin, lı	reland		Citizenship:	Ireland
Post Office Ad	ldress:	cio Cross Vet	harm Group Limite	d, Broomhill Road,	Tallaght, Dubin 24, Ireland
Full name of th	•	nventor, if any:	Colin HILL	Date:	02-08-07
Residence:	Cork, Ire	land		Citizenship:	Ireland
Post Office Add	ldress:	4 Deerpark Co	urt, Cork, Ireland		•
Full name of fo	ourth joint	inventor, if any	: William MEAN	NEY	
Inventor's sign	nature:	W/.	Meine	Date:	02/08/2007
Residence:	Cork, Irei	and		Citizenship:	Ireland
Post Office Add	dress:	Glenabo. Dunt	aheen Road, Fermo	ov. Cork. Ireland	